The Bits In-Between

An EE's Guide to Survival Between Microphone and Voice Coil

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A bit of Perspective

It's all in the head Transducer theory without psychoacoustics=rubbish



A bit of Perspective

The parts most covered in mystique are in fact the utilitarian ones:

- Amplification
- Conversion
- Transmission





Only effects gear should modify the signal audibly

Contents

- Revealed Preference. Running after your ears.
- Unhappy about Negative Feedback?
- Hands-On Op Amp Theory. How they work. Or sometimes don't.
- Minimalist design, or not? Handle Lightly Those Electrons.
- That Digital Sound: Sampling theory is the solution, not the problem.
- Asynchronous SRC: The fine print: it's only 99% digital.
- Digital loudspeaker EQ and cross-over: Just another tool in the box.
- EMI behavior of class D amplifiers. Listen To The Radio.
- Requirements for SMPS in power amps. Mo' power.
- Intelligent Design in Audio: Subcontracting Audio Design

Revealed Preference: Euphonic or Transparent?

How Do You Listen?

- 1. "Preference Test": compare to reference product
 - Design cycle converges on "best sounding".
- 2. "Bypass Test": compare output to input
 - Design cycle converges on "maximally transparent".

Note different meanings of "transparent"

- Audiophile: Barrage of fine detail
- Pro: no audible difference between in and out.

Revealed Preference: Euphonic or Transparent?

Reference Listening Fallacies: Yeah but...

- "I'm listening for the most neutral sound, not for the sound I like best"
 - Result will be what you think is most neutral...
 - ...Not what you *really* like best

...Not what is *truly* transparent

Worst of both worlds!!!

- "I go to live concerts regularly to recalibrate my ears"
 - No actual reproduction takes place (audio=illusion).
 - Your design will be specialised to make your favourite recordings sound realistic

Example non-linearity: pure second order function



• Feedback results in "intermediate" shaped transfer (function has a square root in it)



- 2nd harmonic drops.
- New harmonics appear, including odd ones!







Negative Feedback Guidelines (1)

- Practical open-loop errors are too large for guaranteed transparency.
- Feedback is the most effective tool for reducing errors
- <u>Moderate loop gain does more harm than good</u> in realistic circuits.
- Improved open-loop linearity reduces NFB-related products by a greater extent.

Don't Be a Wimp. Use NFB and use tons of it.

This is a voltage amplifier...



...This is not!





Transconductance Amplifiers

- Common Emitter Circuit
 - $g_m = I_E/26mV$
 - Moderate Z_{in}
 - High Z_{out}





Common Source Circuit

- g_m=device and current dependent
- Very high Z_{in}
- High Z_{out}





Current Amplifiers

- Common Emitter Circuit
 - A_I=h_{fe}
 - moderate Z_{in}
 - high Z_{out}
- Common Base Circuit (=cascode)
 - A_l≈1
 - low Z_{in}
 - Very high Z_{out} (Ccb dominates)



- Current Mirror
 - A_l≈1
 - low Z_{in}
 - High Z_{out}



out

Transimpedance Amplifiers (I/V converters)

- No fundamental circuit, except perhaps:
 - Z_{in}=Z_{out}="Low" only if source and load are high-Z



Feedback type I/V

- Z_{in}≈1/gm
- Z_{out}≈1/gm



Voltage Amplifiers

- Only one truly fundamental voltage amplifier:
 - Very High Zin
 - Low Zout



• Follower = transcond. amp with voltage feedback.









An op amp is an integrator

$$|A_{v}(f)| = \frac{g_{m}}{C} \cdot \frac{1}{2 \cdot \pi \cdot f} = \frac{g_{m}}{2 \cdot \pi \cdot C} \cdot \frac{1}{f}$$

$$GBW = \frac{g_m}{2 \cdot \pi \cdot C}$$

An op amp is an integrator



Negative Feedback Guidelines (2)

Impact of integrating character on sound

- Loop gain drops 20dB/decade
 ⇒Closed-loop THD increases with frequency
 ⇒Spectral distribution shifts towards higher frequencies
- Euphony In Action! Rising THD vs frequency profile has a recognisable sonic signature.
 - HF is only mildly affected except in very bad cases.
 - Bottom end becomes extremely "tight", "powerful" and "controlled".
 - Often attributed to "huge current reserve" of behemoth power stage. Really caused by HF THD of sluggish amp.
 - Propagates "Damping Factor" myth.

Negative Feedback Guidelines (2)

Not scientifically established but useful nonetheless:

- When you're strapped for loop gain at 20kHz, limit lowfrequency loop gain to the same value.
- THD becomes higher but constant throughout the audio band.
- Colouration becomes less obvious and less annoying.

Hang on... What's the output voltage referring to?





So really... $V_{out} = 2 \cdot \pi \cdot GBW \cdot \int V_{in} dt + V_{CC}$ V_{cc} V_{cc} V_{ripple} (VRIPPLE + + V_{cc}

The PSRR Gotcha:

- One rail is output reference
- PSRR is essentially ZERO
- Measured PSRR = $A_L \approx A_{V,OL} A_{V,CL}$
- PSRR in typical audio app is not astronomical





"Folded Cascode" Amp

- Pro:
 - Output Reference is Ground
- Con
 - Buffer impedance is critical
 - Bias sources add noise
 - Non-linear circuit capacitance adds to integration cap



Cascoding the current junction



Cascoding the current junction

- Pro:
 - Reference is made explicit
 - Other advantages of feedback transimp stage remain
- Con:
 - Bias sources add noise to Transcond stage output current

Hands-On Op Amp Theory: CM distortion

Manifestation

• 20dB/dec THD increase

Causes

- Non-linear input capacitance
 - Dominant problem
- Transistor mismatch
 - Also limits DC PSRR
- Load mismatch
 - All but negligible effect


Circuit sensitivity

- All 3 effects happen in noninverting mode
- None happen in inverting mode

Always Invert?

- Guaranteed fix
- Useless in low-noise circuits.

Impedance Matching

- Eliminates dominant cause
- Source impedance not always known
- Noise penalty



Input Stage Improvements

Boot strapped cascode



Input Stage Improvements

Boot strapped folded cascode



Hands-On Op Amp Theory: Going discrete?

Reasons for going Discrete

- Need more headroom
- Trade typical IC compromises for better performance
 - Input Common Mode range
 - Low-supply operation
 - Lack of 6th connection

Not reasons for going Discrete

- "Discrete is better"
 - Come off it, IC technology is mature
 - Discrete copy of IC op amp has the same drawbacks

Basic premise of minimalism

- "Any component a signal passes through, degrades it"
 - Underlying assumption: the whole is always the sum of the parts
- Associated philosophy: "zero feedback"

...do I sense a self-fulfilling prophesy coming?

Source of the confusion: inaccurate wording.

• Let's correct this:

"Any process a signal goes through, degrades it"

- A bunch of parts enclosed in a feedback loop = ONE process.
 - Result is not "sum of parts".
 - Neither mathematically, nor sonically.

• Can a process be improved by adding parts? Yes it can!

Example 1: Cascode



- Cascoding is also accepted by minimalists
 - Undercuts the "sum of parts" premise

Example 2: 4th order low-pass filter.

• First try: Minimalist, only one op amp



Problem: Noise gain is high

- Noise outstrips DAC's
- Op amp is starved of loop gain
- Frequency response deviates noticeably from ideal
- THD comes out of noise floor
 - Worse than 2 separate 2nd order sections
 - Sounds worse too...

Second try: Two-op amp filter w/ global feedback



Second try: Two-op amp single stage filter

- Complexity doubles
- Either stage adds loop gain to the other.
- THD is lower than a single stage (and unmeasurable)
- Converter chain is now audibly transparent

Sampling Theory's Basic Promise



A sampler flanked by low-pass filters with sufficient attenuation at fs/2 does exactly the same as the low-pass filters alone.

The TOA Cue Fallacy

- "The ear can detect a 2us Time-Of-Arrival difference"
 - Correct! (0.2° lateral shift in stereo image)
- "So we need 500kHz sampling"
 - Uhhh not quite...











The Nonoversampling Fallacy

• "Digital Square Wave" test signal looks like this:



The Nonoversampling Fallacy

• After "NOS conversion" (=zero order hold) we get:



The Nonoversampling Fallacy

• Now insert a half-sample delay:



The Nonoversampling Fallacy

- Impulse Response becomes time-variant
- Fallacy was facilitated by the "Digital Squarewave" signals from test kit and test discs.

• The "Digital Step Function" reconstructs like this:



• Contrast with an actual band-limited step function





Dear test equipment designers: please provide a "true square wave" of arbitrary frequency

NOS Rundown

- NOS DAC may sound OK
 - We really don't notice much beyond 20k...
- NOS DAC sometimes sounds better than same DAC with digital filter
 - DAC in these experiments is invariably ladder type
 - Glitch contribution goes up with sampling rate
 - Latch signal passes through filter chip (increased clock jitter)
- None relate to impulse response

 Antialias filtering in contemporary ADC's is mostly done digitally, in a "decimation chain"



Gabarith for 8fs -> 4fs filter stage



Gabarith for 4fs -> 2fs filter stage



- A perfect candidate: The Half-Band filter
 - Magnitude response is chosen symmetrical round 0.25fs and 0.5.
 - Stop band = 0.5fs pass band
 - Stop band rejection = stop band ripple

Half-Band filter, Magnitude Response



Half-Band filter, coefficients



Digital Filters: Design Compromises

Gabarith for 2fs -> 1fs filter stage.



Digital Filters: Design Compromises

Typical final stage in commercial converters



Cut & dried breach of Nyquist criterion!

Digital Filters: Design Compromises

0.4535*44.100kHz=20.000kHz
Result

- Band between 0.4535fs and 0.5565fs suffers aliasing.
- Only 12dB of attenuation at $f_s/2$. Signals with significant energy near $f_s/2$ are worst affected.

 Next slide: demonstration: f_s=44.1k. Square wave of f≈3150Hz is fed into ADC. 7th harmonic aliases.



To human ears:

- TOA cues are affected for signals with significant HF.
 - Sibilants in choral music, wind and string instruments smear across the whole stereo image.
- Nearly no impact for panpot stereo.
 - Alias components are in phase across channels

How to Salvage a Burnt Steak

• Cut off the blackened bits.



Applicability of Steak-Salvaging filter.

- Use once in the entire record-replay chain
 - The rest of the chain may keep using halfbands.
- Check by ear
 - The 44.1kHz version has a sonic signature.
 - Weigh against improved imaging.

The Equiripple Filter

- "Just Enough" attenuation = minimum number of coefficients.
- Windowed Sinc filters roll off further inside the stop band. Unnecessary attenuation increases length.
- Example: Equiripple, 75 taps. Windowed, 95 taps.



In a halfband filter, ripple and attenuation are linked



Ripples are equal in amplitude and nearly equally spaced. Spacing $\approx 2/(\text{#taps+1})$



Let's define another linear-phase filter with nearly the same in-band response



Impulse response of that filter (exaggerated):



- Constant in-band ripple equates to echos at the ends of the filter.
- Amplitude of echos = stop band attenuation 6dB
- Post-echo is certainly masked. Pre-echo possibly not.

Close-up of ripple of windowed sinc filter



Compare 2 halfband filters at 95 taps





Import on "digiphobia"

- Classic argument against digital: "pre-ringing"
 - Little serious evidence of audibility of pre-ringing outside the audio band exists.
 - Looks like a red herring
- 2 common impementation problems were identified
 - Aliasing and Pre-Echo
 - Audible deficiencies are linked to compromising.
 - Solved by better adhering to theory, not deviating further.
- Pre-ringing hypothesis is not needed!
 - You Hear What You Hear but it's Not What You Think.

Testing audibility of brick wall filtering

- Use a 96kHz or 192kHz recording.
- Slice off 0.4535-0.5fs area.
- Test the following filters (never decimate):
 - 20kHz sharp-rolloff
 - 20kHz slow-rolloff
 - 40kHz sharp-rolloff

Effect of Slow-Roll Filter after the fact...



...reverses effect of sharp rolloff filters



(example: standard 96kHz AD/DA with slow LPF inserted)

Should we put Slow-Rolloff filters in IC's?

- NO! Compounded SR filters amount to a brick wall.
- Only brick-wall filters are "idempotent".
- Use brick-wall filters throughout and shape response only once.

How About The Slow-Rolloff Filters in Chip XYZ?

• Intended to reduce latency, NOT improve sound quality



The Phase-Optimised Filter

• Reduces pre-ringing at the expense of post-ringing



- Magnitude response is maintained
- Cost-effective implementation (IIR+short FIR at f_{sout})
- Reduces latency with minimal loss of sound quality

Are phase-optimised filters a good thing?

• YES. Much better tradeoff between audio performance and latency.

Should phase-optimised filters be standard?

- NO. One pass may be inaudible but 2 passes? 10?
- "Improved sound quality" claim is based on pre-ringing hypothesis.

What SRC does

- Reconstruct waveform, resample at new rate
- Done by interpolation



Basic Concept of Asynchronous Sample Rate Conversion



- When f_{sin}/f_{sout} ratio indication is correct, interpolator will read FIFO exactly as often as it is written.
- f_{sin}/f_{sout} ratio is updated to keep FIFO half full.
- Hardware implementations have separate Ratio Estimators

Basic problem of ASRC: measurement accuracy

Accuracy = sampling rate of Ratio Estimator



Basic problem of ASRC: measurement accuracy

Accuracy = sampling rate of Ratio Estimator





Example IC ASRC

- Output rate = 47.999kHz
- Input rate = 48kHz (blue), 48.025kHz (red)
- Separate independent clock osc drives SRC process



Example IC ASRC

- Output rate = 47.999kHz. Input rate = 48kHz (blue)
- Separate independent clock osc drives SRC process.
 Oscillator can temperature = 25°C 40°C 55°C



The Headline

• ASRC's greatly attenuate input jitter...

The Fine Print

- ...but add a lot of their own before doing so!
- And encode the remainder in the data!
 - Signal degradation is irreversible
- ASRC is not a fully digital process!
 - Frequency is a physical quantity = analogue
 - Ratio between independent oscillators = analogue

Good Uses for ASRC

- Synchronisation in a mixed-rate environment
- Jitter reduction in DAC. *Run the DAC at an odd rate!*

Not Good uses for ASRC

- Blanket synchronisation issue solver
- Mastering (use synchronous or software based SRC e.g. Barbabatch)

Utterly Repugnant uses for ASRC

- Jitter removal device in one-box players
- "Upsampler" in consumer devices

The Siren Song

- Perfect amplitude/phase/impulse response
- From any speaker
 - Measure speaker response, invert, apply FIR, presto!
- Ultra-steep, linear-phase cross-over

The standard approach

- Impulse inversion method.
- Corrects all linear distortions, including echo's.

OK, let's try this!

- Test Mule:
 - 2x5" woofer (Vifa OEM)
 - 1" tweeter (Morel)
 - Classic MTM arrangement



On-Axis Response



30° Horizontal Off-Axis Response



Note: some peaks/dips shift frequency!

30° Vertical Off-Axis Response



- Tremendous comb filter in LF response
- Other peaks/dips shift frequency!

Before we've even started...

- Worst irregularities are diffractions
 - Cabinet edges and woofer cones
- Virtual sources are far from drivers
 - Reflections change with listening position
 - Subverts response correction off axis

Mis-correction of reflections and diffractions





Corrected and Filtered HF response (on axis)


"Corrected" and Filtered HF response (30°H off axis)



"Corrected" and Filtered HF response (30°V off axis)



Sum Response (on axis)



Sum Response (30°H off axis)



Sum Response (30°V off axis)



Impulse Response (on axis)

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Impulse Response (30°H off axis)



Impulse Response (30°H off axis, Y zoom)



Impulse Response (30°V off axis)



Impulse Response (30°V off axis, ultra-steep filter)



Observations

- Heavy correction exacerbates acoustic problems
- Steep, linear-phase filtering causes pre-ringing in offaxis response
- Linear-phase target response invites pre-echos

⇒Brute-force correction produces ugly, smeared sound

Sensible approach to correction:

- Don't Shave Off The Hair. It'll Grow Back.
- Limit scope of correction to a few periods

⇒ The subtler the correction, the wider the listening angle in which it still makes some sense.

Even better approach to correction: manually!

- Forget FIR
- Of each bump and trough, find cause
 - If the driver is the source: correct ruthlessly
 - If the source is elsewhere: EQ gently
- Know your acoustics...

Cross-over Filtering

- Use shallow slopes
- Target minimum phase sum
 - We <u>really</u> don't want linear phase HPF!
 - We only care about the sum, not the individual drivers.

• (...)

Conclusion

- DSP does not exonerate you from doing your acoustical homework
 - You might even need to work harder
 - Some acoustic concepts really are "broken"
- Automated design procedure = pipe dream
- Impulse inversion method is naïve

Low-frequency EMI: Carrier and low harmonics.

- Close match with theory.
- Ripple cancelling possible.
- Not an EMI issue except for long cables
- Not a tweeter issue (come off it!)



Common and Differential Mode in H-Bridge Class D

• "Class AD". Carriers and modulation are out of phase



"Class BD".

• Carriers are in phase. Modulation is out of phase.



Half bridge vs Full Bridge, Class AD vs BD

- Half-bridge
 - Can't cancel either CM or DM
 - Common-mode is half of differential mode
- AD
 - Common-mode voltage theoretically 0
 - Differential mode same as half bridge
- BD
 - Differential mode cancels at low modulation... ...but that was not really a problem anyway.
 - Common-mode voltage same as half bridge

High-Frequency EMI: Leaking switching transients

- Theoretical modeling is useless.
 - Capacitors become inductive
 - Inductors become capacitive
 - PCB becomes jumble of L's and C's.
- No tricks. Only good hardware design helps.
- Direct EMI problem under all circumstances.



Sensitive item 1: The capacitor.

- Myth of the "Low Inductance Capacitor". (An Audiophile Favourite)
 - All modern film caps have sprayed end contacts.
 - Inductance is determined by geometry only (mostly size).



Period.

Sensitive item 2: The inductor.

• Stray fields out of toroids



• Upright mounted toroids are worst.

Sensitive item 2: The inductor.

- Beware of indirect Capacitive Coupling through Core
 - Tight windings are better magnetically but worse electrostatically.
 - No external electrostatic shield: Capacitive coupling to chassis etc. can get significant.
- Toroids are not always optimal



Sensitive item 2: The inductor.

• Ferrite inductors: avoid direct capacitive coupling between windings



- "Hot" end sees "Cold" end
- 2 layers is worst case situation
- 1 layer is best

Sensitive item 3: The PCB layout.

- Contiguous ground plane
 Keep connectors together
- Avoid capacitive coupling to external parts
- Minimize loop area (≠short traces)

Checking for EMI without Spectrum Analyser

- Just probe around the external connections with a scope!!!
- If you see rubbish, there is rubbish
- The higher the frequency, the more you should worry

Example: Amplifier A, rated 160W



Amplifier A, one output line

• 1V/div. Probe clip at RCA ground.



Amplifier A, common mode

500mV/div. Amp is claimed to pass FCC???



Amplifier A, differential mode

• 500mV/div. Note: relatively clean.



Example: Amplifier B, rated 2kW





Amplifier B, common mode

• 250mV/div. Probe clip at power GND faston tab



Amplifier B, differential mode

• 500mV/div.



Class D EMI is no mystery

- Eyeballing components and PCB gives good indication
- Invest in an analogue scope
- Don't bother EMC testing if the scope pic isn't squeaky clean

Specifying SMPS for Audio

The complaint

- "I need a 2kW amp to do what a 1kW amp would do in the old days"
- "It sounds great with some sources and sux with others"

Specifying SMPS for Audio

Power Handling of COTS SMPS

- Protection limit = Peak Rating = DC rating
- Thermal design for rated output
- Protection = constant current, foldback or stop
Power demand of resistive load

- Peak current/voltage = 1.414x RMS
- Peak power = 2x average ("RMS") power

Power demand of reactive load

• Example impedance plot



Power demand of reactive load

• Worst case current pulse



Power demand of reactive load

Maximum current pulse = 2x peak current in DC resistance!

Reactive or resistive:

• SMPS rating = amplifier rating is inadequate

Practical set of requirements

- Thermal design
 - 1/8Pr indefinitely (suggest 1/3Pr for pro)
 - Pr continuous for 5 minutes (IHF rating)
- Protection
 - Constant-Power at 2x Pr

EMI: Injected mains current

- Getting clean rails is easy (differential mode)
- Getting low CM noise is harder



- Y cap reduces CM noise voltage
 - CM current reduction is indirect
 - Increases coupling of mains-borne noise



Leakage current exacerbates Pin-1 problem

- Current enters circuit ground.
- Circuit ground current includes return
 - You can't just disconnect it (AES48 style).
 - Requires chassis connection at PSU output.
 - Creates additional layout challenges



Additional EMI requirements for audio SMPS

- Common mode voltage/current noise
- Primary-to-secondary impedance

Nightmare story #1

- Customer wanted 100W class D solution
- Subcontracter had a fully working design that fit well
- C insisted on using "metal core" boards (hybrid)
- S made list of 8 technical issues that would definitely kill the project.
- C said all problems would get resolved
- All problems materialised, few got solved
- Project failed. C's project manager resigned

What went wrong?

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Customer specified how, not what Customer could not justifiably make this judgment!

Nightmare Story #2

- C wants high-end class D amplifier...
 - that does not use feedback
 - that processes DSD...
 - ...with no alteration
- S produces a highly complex but working prototype
- C thanks S and starts product development cycle.
 - Layout gets changed

. . .

Clock distribution gets changed

Project fails as C can't debug a buck regulator circuit...
What went wrong?

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- Clock distribution gets changed
- Project fails as C can't debug a buck regulator circuit...
- C specifies how, not what
- C overestimates self / underestimates problem

Outset

- Customer has needs
- Subcontractor has capabilities

Potential problem

 <u>Perceived</u> overlap of competences (Real overlap of actual competences is not a problem)

Failure modes

- Customer overestimates what they can do themselves
- Customer specifies implementation details
- Subcontractor meddles in customer's work.

Success Story

- C wants DSP/amplifier electronics for loudspeaker
- C and S agree "black box" spec
- S designs electronics
- C designs acoustics and filters
 - Politely refuses S' spontaneous input ("that's our problem")
- Both parties finish in time, product is well received.

Critical steps for the Subcontractor:

- Agree and insist on responsibilities
- Avoid inept customers
- Refuse paper-only gigs
- Charge for spec changes once the design is underway

Critical steps for the Customer:

- Hire expertise, accept expertise.
- Write "black box" performance spec
 - Performance is judged with the box closed and the power on.
 - "Subjective sound quality" is a black box spec too.
 - Type of circuit or parts is <u>not</u> a performance spec.

The Two Roads

The Road To Hell: Specify the Design, Accept the Performance.

The Road To Heaven: Specify the Performance, Accept the Design.

Thank you!



Grimm AUDIO

recforums.prosoundweb.com